



Shape Function Generation *and Requirements*

Requirements

(A) Interpolation

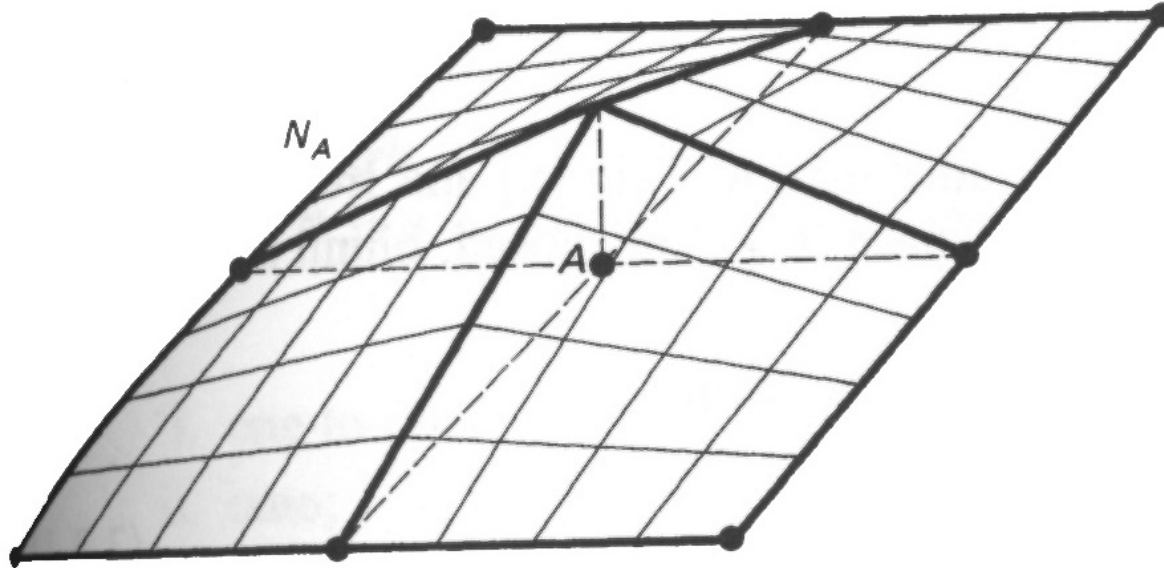
(B) Local Support

(C) Continuity (Intra- & Inter-Element)

(D) Completeness

Requirements

- (A) *Interpolation condition*. Takes a unit value at node i , and is zero at all other nodes.



Requirements

- (B) *Local support condition*. Vanishes over any element boundary (a side in 2D, a face in 3D) that does not include node i .
- (C) *Interelement compatibility condition*. Satisfies $C0$ continuity between adjacent elements over any element boundary that includes node i .
- (D) *Completeness condition*. The interpolation is able to represent exactly any displacement field which is a linear polynomial in x and y ; in particular, a constant value.
- **If (C) and (D) are considered together, this case can be called CONSISTENCY.**



The Variational Index m

Bar

$$\Pi[u] = \int_0^L \left(\frac{1}{2} u' E A u' - q u \right) dx$$

$$m = 1$$

Beam

$$\Pi[v] = \int_0^L \left(\frac{1}{2} v'' E I v'' - q v \right) dx$$

$$m = 2$$

Requirements

- What are the minimum requirements that the finite element shape functions must show so that convergence is assured.

Two have been accepted for a long time:

Completeness

The *element shape functions* must represent exactly all polynomial terms of order $\leq m$ in the Cartesian coordinates. A set of shape functions that satisfies this condition is called *m-complete*

Compatibility

The *patch trial functions* must be $C^{(m-1)}$ continuous between elements, and C^m piecewise differentiable inside each element

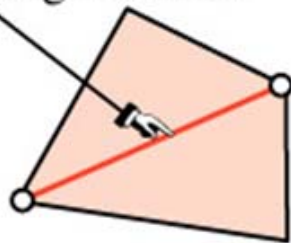
Completeness & Compatibility in Terms of m

Continuity (which is the toughest to meet!)

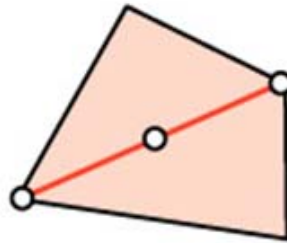
- A structure is sub-divided into sub-regions or elements. The overall deformation of the structure is built-up from the values of the displacements at the nodes that form the net or grid and the shape functions within elements.

Let k be the number of nodes on a side:

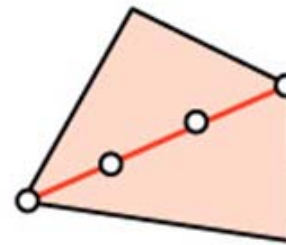
side being checked



$k = 2$



$k = 3$



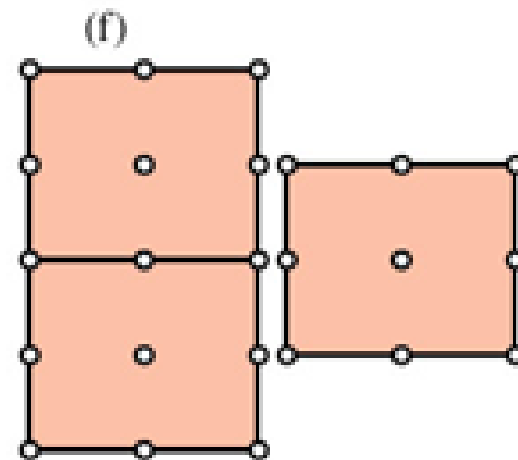
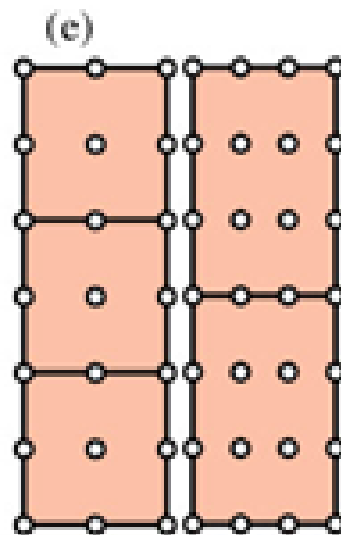
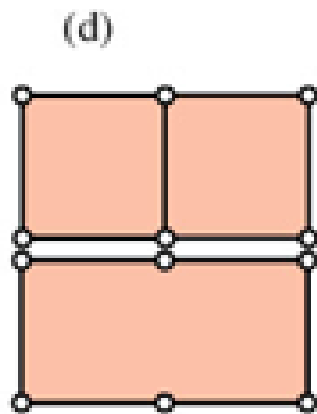
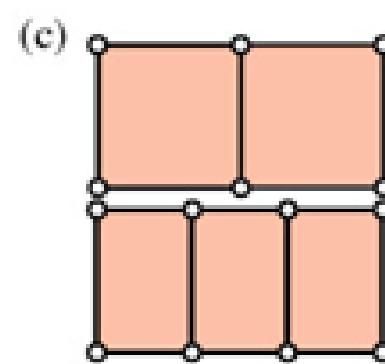
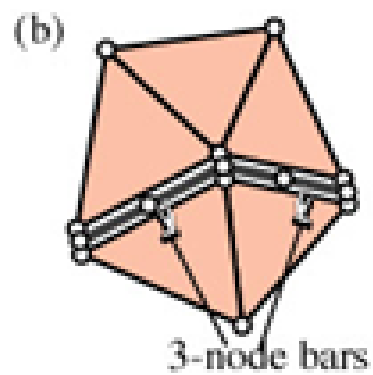
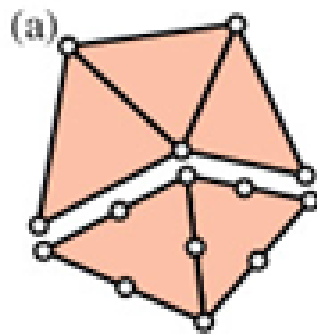
$k = 4$

The variation of each element shape function along the side must be of polynomial order $k - 1$

If *more*, continuity is violated

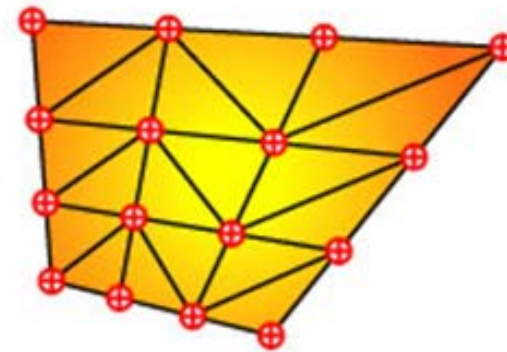
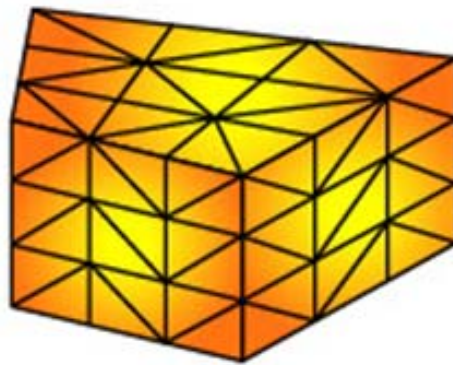
If *less*, nodal configuration is wrong (too many nodes)

2D Nonmatching Mesh Examples



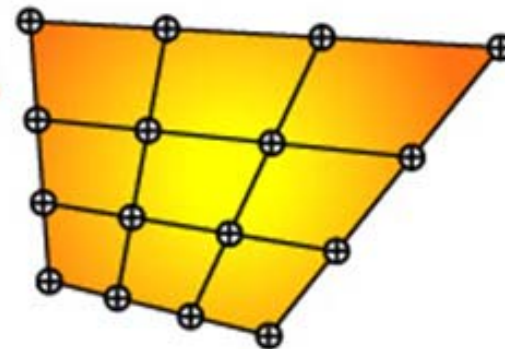
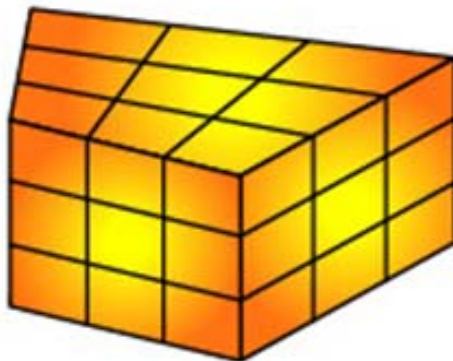
3D Nonmatching Mesh Example

**Mesh of
tetrahedra**



Common surface

**Mesh of
bricks**



Completeness

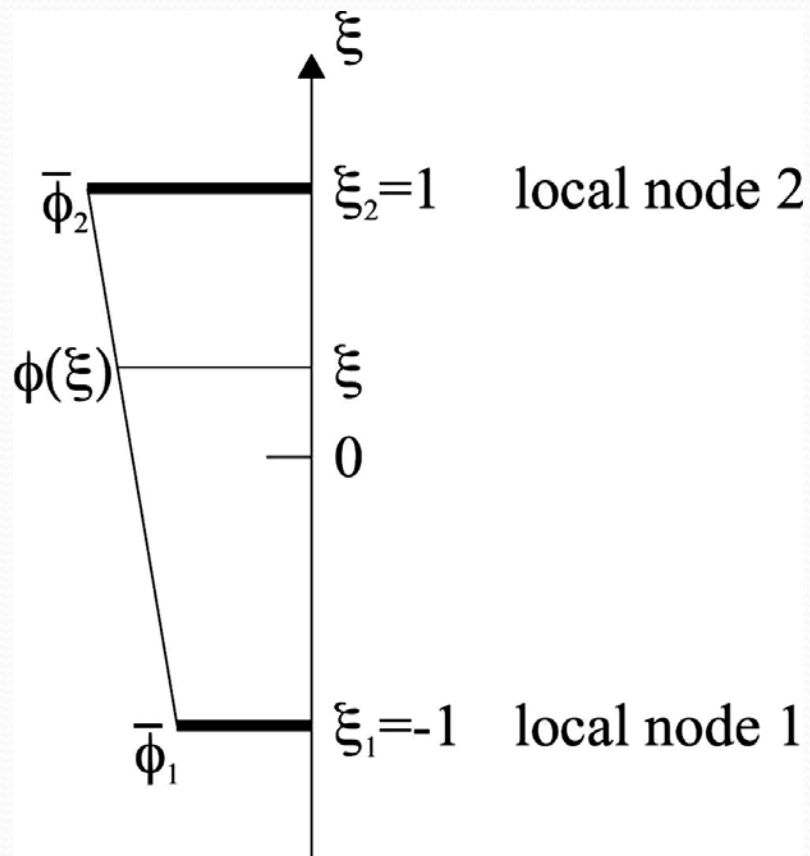
In the finite element method, or for that matter, in any approximate method, we are trying to replace an unknown function $\varnothing(x)$, which is the exact solution to a boundary value problem over a domain enclosed by a boundary by an approximate function $\varnothing(x)$ which is constituted from a set of shape or basis functions.

Generation of Shape Functions

- Generation of shape functions is the most fundamental task in any finite element implementation.
- How isoparametric shape functions can be directly constructed by geometric considerations;
- Traditional interpolation takes the following steps
 - 1. Choose a interpolation function
 - 2. Evaluate interpolation function at known points
 - 3. Solve equations to determine unknown constants

$$\diamond \quad \mathbf{\Phi} = [\mathbf{X}] \{ \mathbf{a} \} \quad \mathbf{\Phi}_e = [\mathbf{A}] \{ \mathbf{a} \}$$

Local Interpolation (1D)



$$\phi(\xi) = \alpha_1 + \alpha_2 \xi$$

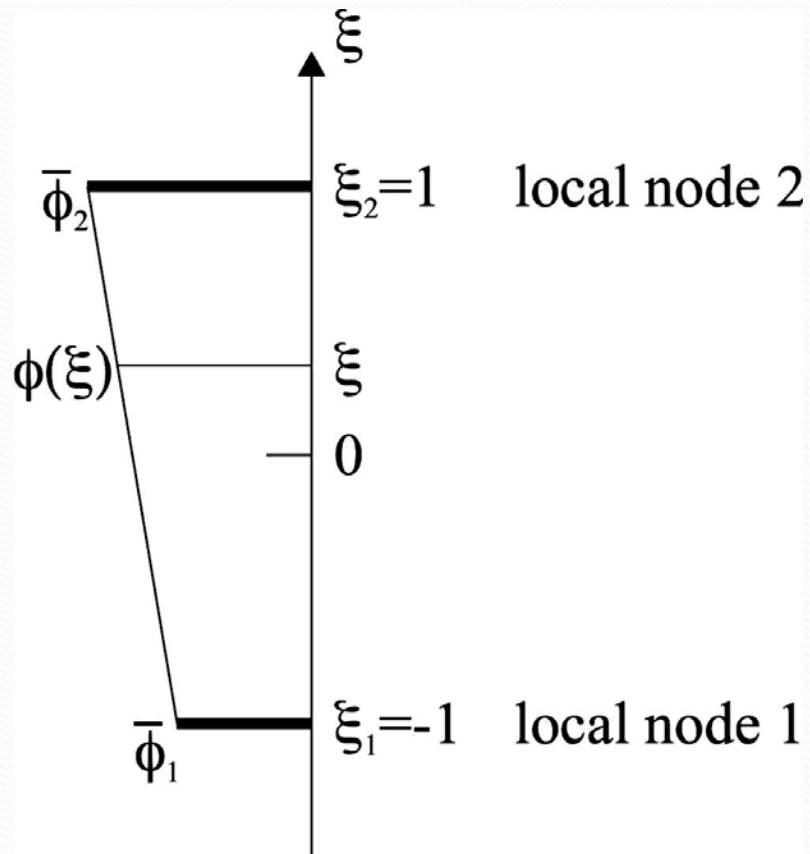
$$\begin{pmatrix} \bar{\phi}_1 \\ \bar{\phi}_2 \end{pmatrix} = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}$$

$$\begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix} = \frac{1}{2} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix} \begin{pmatrix} \bar{\phi}_1 \\ \bar{\phi}_2 \end{pmatrix}$$

$$\phi(\xi) = \frac{\bar{\phi}_1 + \bar{\phi}_2}{2} + \frac{-\bar{\phi}_1 + \bar{\phi}_2}{2} \xi$$

$$\phi(\xi) = \frac{1-\xi}{2} \bar{\phi}_1 + \frac{1+\xi}{2} \bar{\phi}_2$$

Local Shape Function (1D)



$$\phi(\xi) = \frac{1-\xi}{2} \bar{\phi}_1 + \frac{1+\xi}{2} \bar{\phi}_2$$

$$\phi(\xi) = N^{(1)}(\xi) \bar{\phi}_1 + N^{(2)}(\xi) \bar{\phi}_2$$

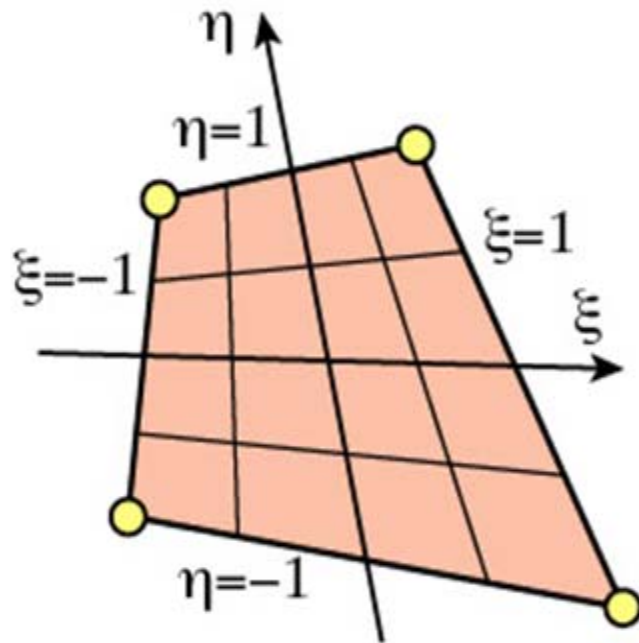
$$N^{(1)}(\xi) = \frac{1-\xi}{2}, \quad N^{(2)}(\xi) = \frac{1+\xi}{2}$$

$$\bar{\xi}^{(1)} = -1$$

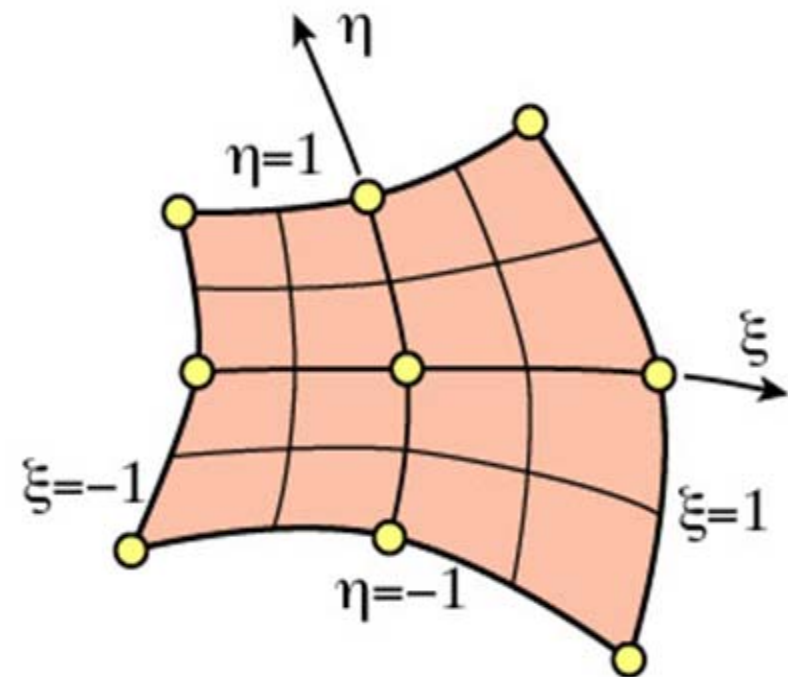
$$\bar{\xi}^{(2)} = 1$$

$$N^{(n)}(\xi) = \frac{1 + \bar{\xi}^{(n)} \xi}{2}, \quad n = 1, 2$$

Quadrilateral Coordinates ξ, η



4 Node Bilinear Quadrilateral

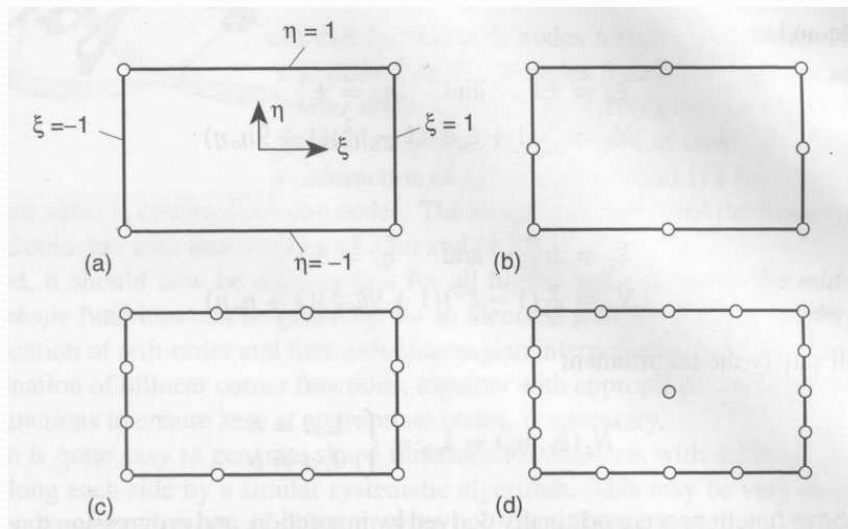


9 Node Biquadratic Quadrilateral

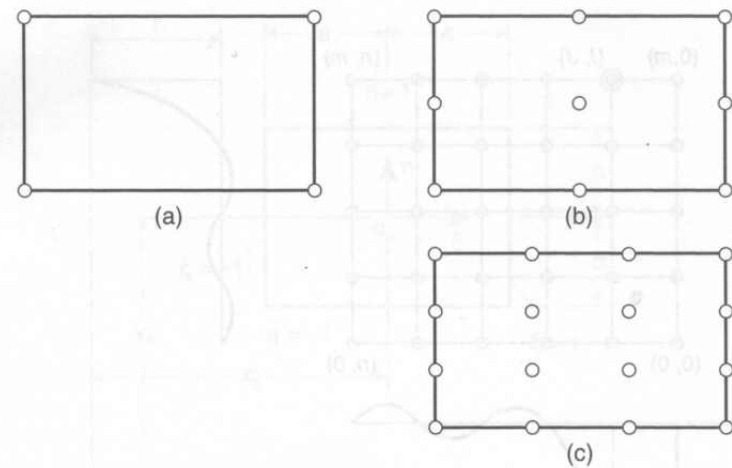
Quadrilateral Elements

Higher Order Rectangular Elements

- More nodes; still 2 translational d.o.f. per node.
- “Higher order” \Rightarrow higher degree of complete polynomial contained in displacement approximations.
- Two general “families” of such elements:



Serendipity

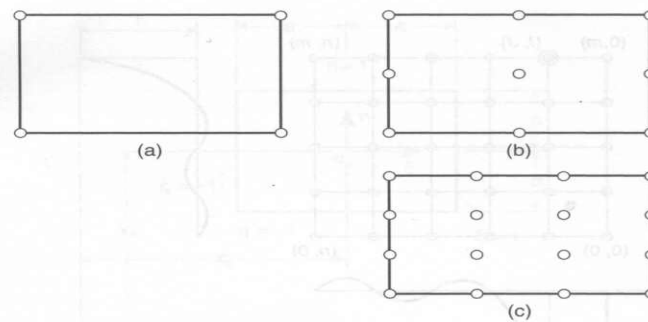


Lagrangian

Quadrilateral Elements

Lagrangian Elements:

- Order n element has $(n+1)^2$ nodes arranged in square-symmetric pattern – requires internal nodes.

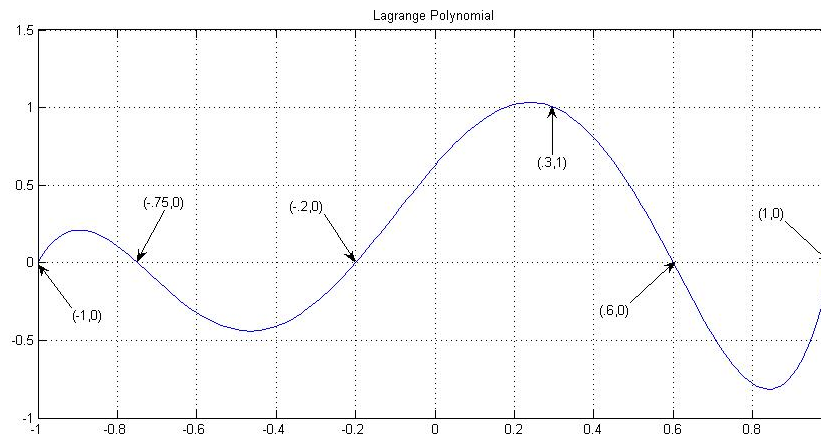


- Shape functions are products of n th order polynomials in each direction. (“biquadratic”, “bicubic”, ...)
- Bilinear quad is a Lagrangian element of order $n = 1$.

Quadrilateral Elements

Lagrangian Shape Functions:

- Uses a procedure that automatically satisfies the Kronecker delta property for shape functions.
 - Consider 1D example of 6 points; want function = 1 at $\xi_3 = 0.3$ and function = 0 at other designated points:



$$\begin{aligned}\xi_0 &= -1; \\ \xi_1 &= -.75; \\ \xi_2 &= -.2; \\ \xi_3 &= .3; \\ \xi_4 &= .6; \\ \xi_5 &= 1.\end{aligned}$$

$$L_3^{(5)}(\xi) = \frac{(\xi - \xi_0)(\xi - \xi_1)(\xi - \xi_2)(\xi - \xi_4)(\xi - \xi_5)}{(\xi_3 - \xi_0)(\xi_3 - \xi_1)(\xi_3 - \xi_2)(\xi_3 - \xi_4)(\xi_3 - \xi_5)}.$$

Quadrilateral Elements

Lagrangian Shape Functions:

- Can perform this for any number of points at any designated locations.

$$L_k^{(m)}(\xi) = \frac{(\xi - \xi_0)(\xi - \xi_1)L(\xi - \xi_{k-1})(\xi - \xi_{k+1})L(\xi - \xi_m)}{(\xi_k - \xi_0)(\xi_k - \xi_1)L(\xi_k - \xi_{k-1})(\xi_k - \xi_{k+1})L(\xi_k - \xi_m)} = \prod_{\substack{i=0 \\ i \neq k}}^m \frac{(\xi - \xi_i)}{(\xi_k - \xi_i)}.$$

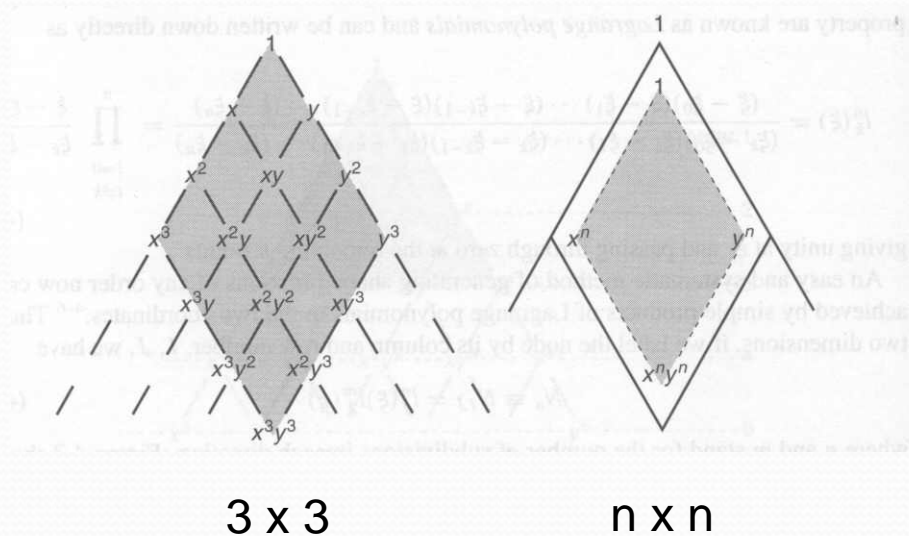
No $\xi - \xi_k$ term!

**Lagrange
polynomial
of order m
at node k**

Quadrilateral Elements

Notes on Lagrangian Elements:

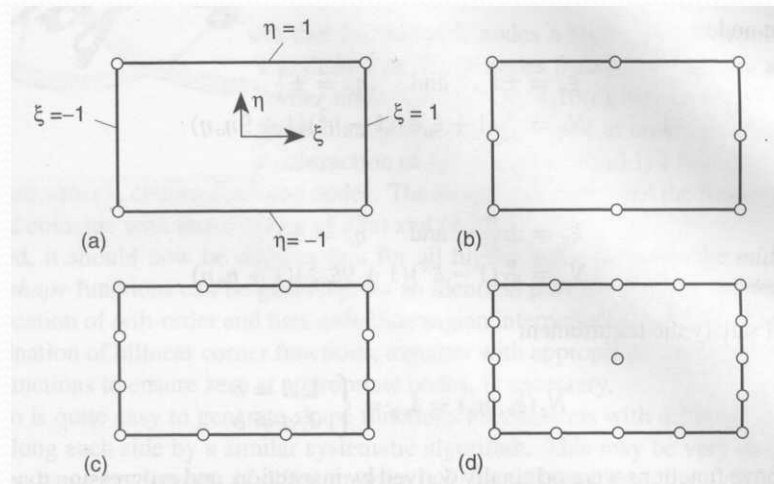
- Once shape functions have been identified, there are no procedural differences in the formulation of higher order quadrilateral elements and the bilinear quad.
- Pascal's triangle for the Lagrangian quadrilateral elements:



Quadrilateral Elements

Serendipity Elements:

- In general, only boundary nodes – avoids internal ones.

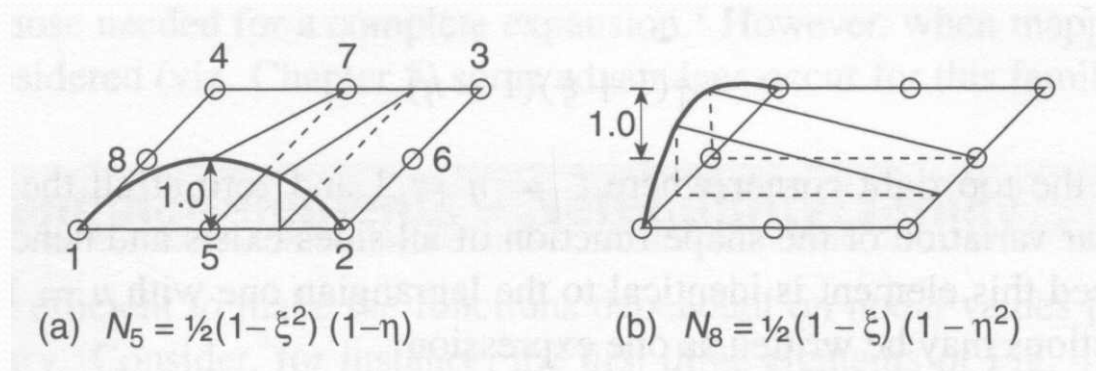


- Not as accurate as Lagrangian elements.
- However, more efficient than Lagrangian elements and avoids certain types of instabilities.

Quadrilateral Elements

Serendipity Shape Functions:

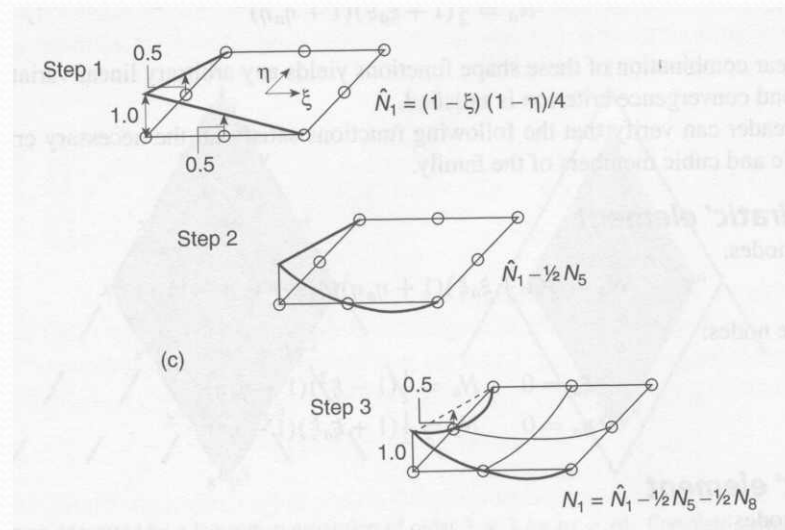
- Shape functions for **mid-side nodes** are products of an n th order polynomial parallel to side and a linear function perpendicular to the side.
 - E.g., quadratic serendipity element:



$$N_6 = \frac{1}{2}(1 + \xi)(1 - \eta^2); N_7 = \frac{1}{2}(1 - \xi^2)(1 + \eta).$$

Quadrilateral Elements

- Shape functions for **corner nodes** are modifications of the shape functions of the bilinear quad.
 - Step #1: start with appropriate bilinear quad shape function, \hat{N}_1
 - Step #2: subtract out mid-side shape function N_5 with appropriate weight $\hat{N}_1(\text{node \#5}) = \frac{1}{2}$
 - Step #3: repeat Step #2 using mid-side shape function N_8 and weight $\hat{N}_1(\text{node \#8}) = \frac{1}{2}$

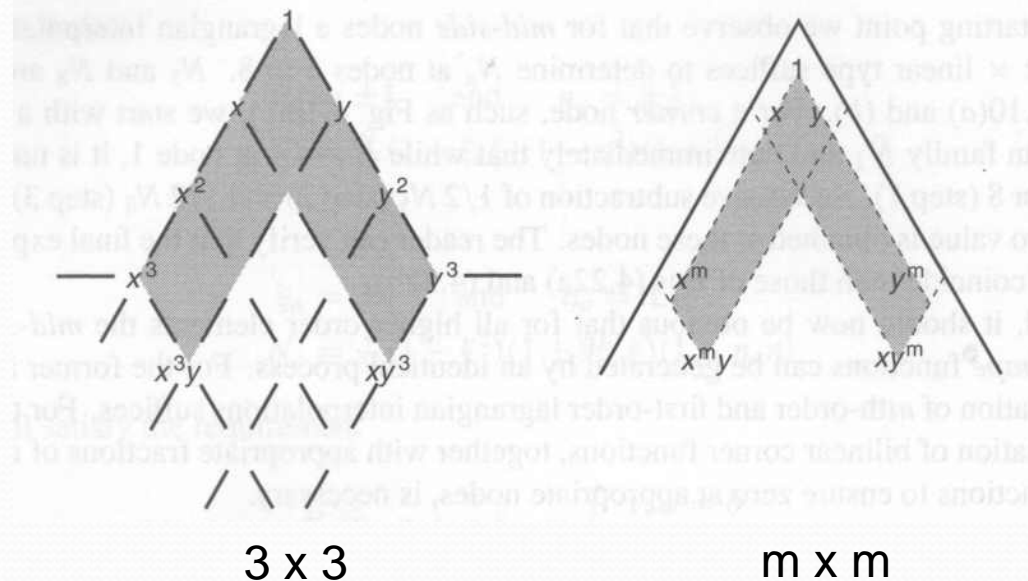


$$N_k = \frac{1}{4}(1 + \xi_k \xi)(1 + \eta_k \eta)(\xi_k \xi + \eta_k \eta - 1); k = 1, 2, 3, 4.$$

Quadrilateral Elements

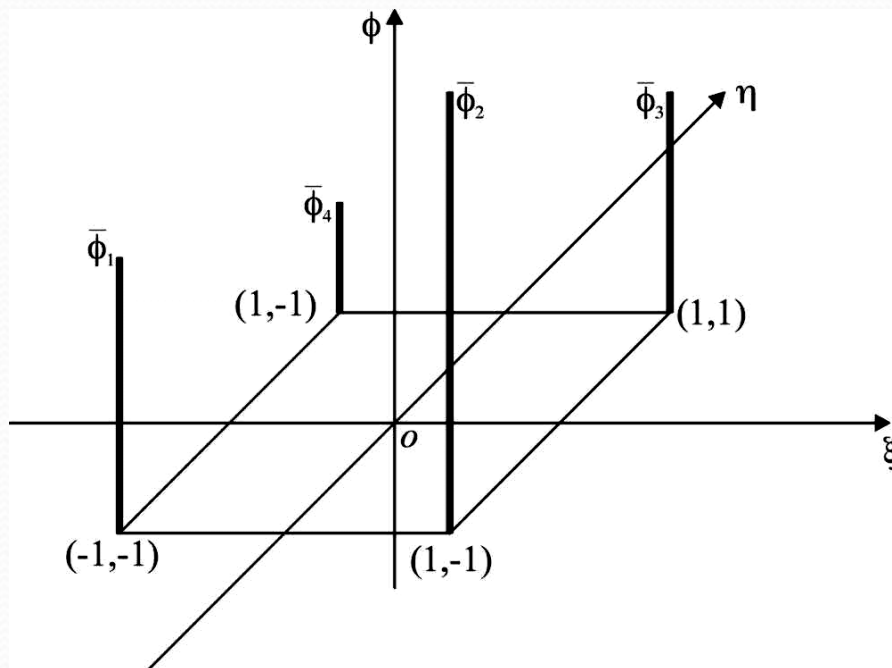
Notes on Serendipity Elements:

- Once shape functions have been identified, there are no procedural differences in the formulation of higher order quadrilateral elements and the bilinear quad.
- Pascal's triangle for the serendipity quadrilateral elements:



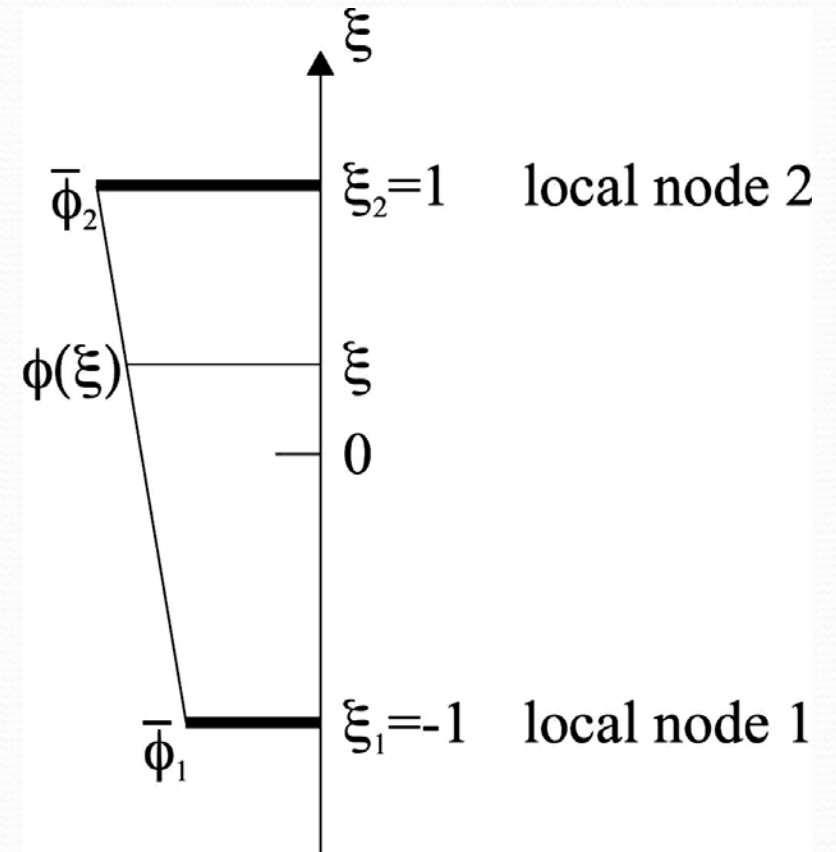
2D Shape Function

$$\phi(\xi, \eta) = \alpha_1 + \alpha_2 \xi + \alpha_3 \eta + \alpha_4 \xi \eta$$



1D Shape Function

$$\phi(\xi) = \alpha_1 + \alpha_2 \xi$$

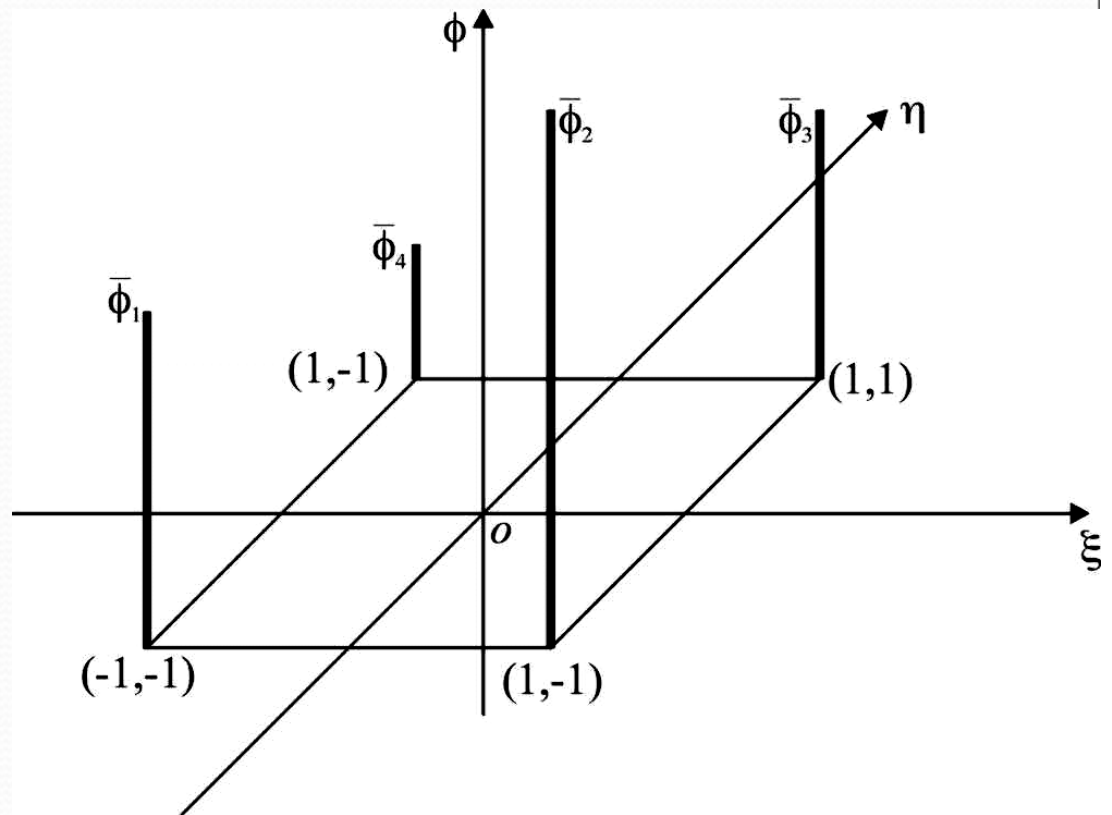


2D Interpolation Surface

$$\phi(\xi, \eta) = \alpha_1 + \alpha_2 \xi + \alpha_3 \eta + \alpha_4 \xi \eta$$

$$\begin{pmatrix} \bar{\phi}_1 \\ \bar{\phi}_2 \\ \bar{\phi}_3 \\ \bar{\phi}_4 \end{pmatrix} = \begin{bmatrix} 1 & -1 & -1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \end{bmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha_4 \end{pmatrix}$$

$$\begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha_4 \end{pmatrix} = \frac{1}{4} \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & -1 & 1 \\ -1 & -1 & 1 & 1 \\ -1 & 1 & -1 & 1 \end{bmatrix} \begin{pmatrix} \bar{\phi}_1 \\ \bar{\phi}_2 \\ \bar{\phi}_3 \\ \bar{\phi}_4 \end{pmatrix}$$



Interpolation Surface

$$\phi(\xi, \eta) = \alpha_1 + \alpha_2 \xi + \alpha_3 \eta + \alpha_4 \xi \eta$$

$$\begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha_4 \end{pmatrix} = \frac{1}{4} \begin{bmatrix} 1 & 1 & 1 & 1 \\ -1 & 1 & 1 & -1 \\ -1 & -1 & 1 & 1 \\ 1 & -1 & 1 & -1 \end{bmatrix} \begin{pmatrix} \bar{\phi}_1 \\ \bar{\phi}_2 \\ \bar{\phi}_3 \\ \bar{\phi}_4 \end{pmatrix}$$

$$\phi(\xi, \eta) = \frac{\bar{\phi}_1 + \bar{\phi}_2 + \bar{\phi}_3 + \bar{\phi}_4}{4} + \frac{-\bar{\phi}_1 + \bar{\phi}_2 + \bar{\phi}_3 - \bar{\phi}_4}{4} \xi + \frac{-\bar{\phi}_1 - \bar{\phi}_2 + \bar{\phi}_3 + \bar{\phi}_4}{4} \eta + \frac{\bar{\phi}_1 - \bar{\phi}_2 + \bar{\phi}_3 - \bar{\phi}_4}{4} \xi \eta$$

$$\phi(\xi, \eta) = \left(\frac{1 - \xi - \eta + \xi \eta}{4} \right) \bar{\phi}_1 + \left(\frac{1 + \xi - \eta - \xi \eta}{4} \right) \bar{\phi}_2 + \left(\frac{1 + \xi + \eta + \xi \eta}{4} \right) \bar{\phi}_3 + \left(\frac{1 - \xi + \eta - \xi \eta}{4} \right) \bar{\phi}_4$$

Shape Function (2D)

$$\phi(\xi, \eta) = \left(\frac{1-\xi-\eta+\xi\eta}{4}\right)\bar{\phi}_1 + \left(\frac{1+\xi-\eta-\xi\eta}{4}\right)\bar{\phi}_2 + \left(\frac{1+\xi+\eta+\xi\eta}{4}\right)\bar{\phi}_3 + \left(\frac{1-\xi+\eta-\xi\eta}{4}\right)\bar{\phi}_4$$

$$\phi(\xi, \eta) = N^{(1)}\bar{\phi}_1 + N^{(2)}\bar{\phi}_2 + N^{(3)}\bar{\phi}_3 + N^{(4)}\bar{\phi}_4$$

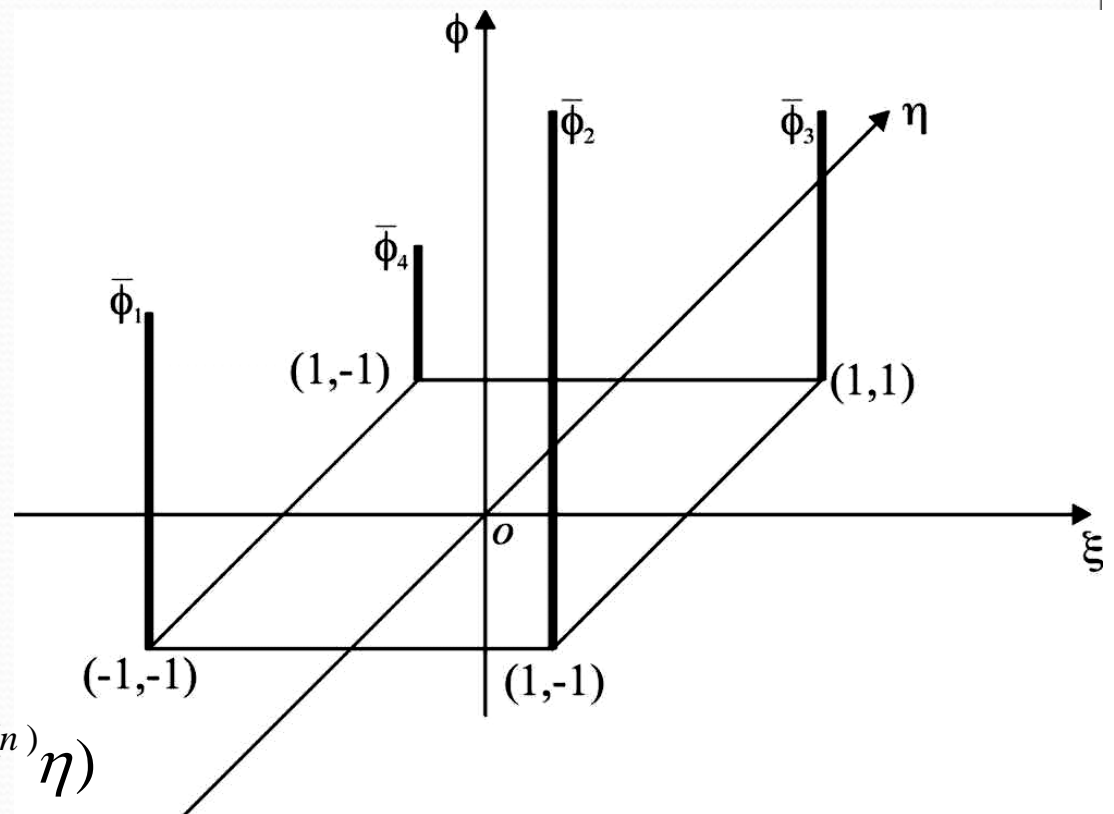
$$N^{(1)} = \frac{1}{4} (1-\xi)(1-\eta)$$

$$N^{(2)} = \frac{1}{4} (1+\xi)(1-\eta)$$

$$N^{(3)} = \frac{1}{4} (1+\xi)(1+\eta)$$

$$N^{(4)} = \frac{1}{4} (1-\xi)(1+\eta)$$

$$N^{(n)}(\xi, \eta) = \frac{1}{4} (1 + \bar{\xi}^{(n)}\xi)(1 + \bar{\eta}^{(n)}\eta)$$



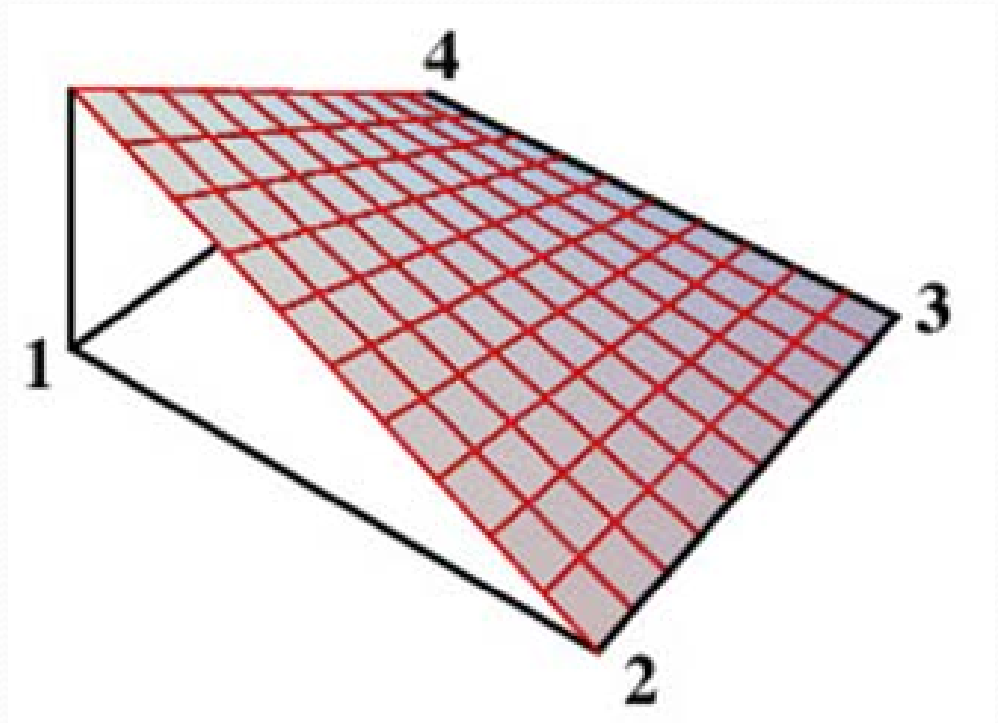
Bilinear Shape Function

$$N^{(1)} = \frac{1}{4} (1 - \xi)(1 - \eta)$$

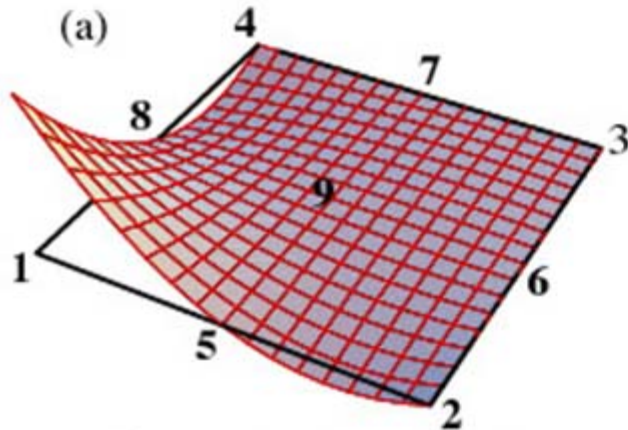
$$N^{(2)} = \frac{1}{4} (1 + \xi)(1 - \eta)$$

$$N^{(3)} = \frac{1}{4} (1 + \xi)(1 + \eta)$$

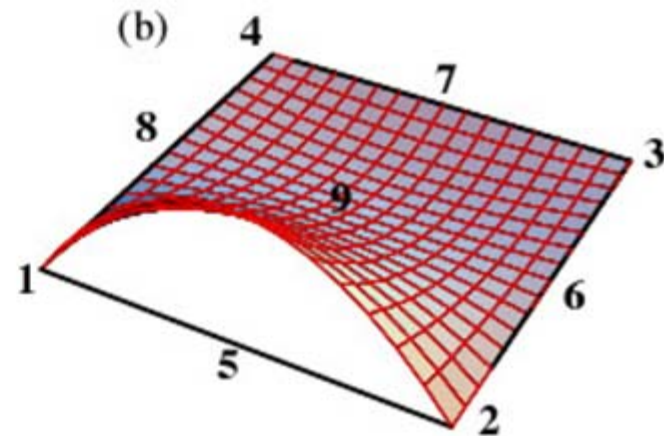
$$N^{(4)} = \frac{1}{4} (1 - \xi)(1 + \eta)$$



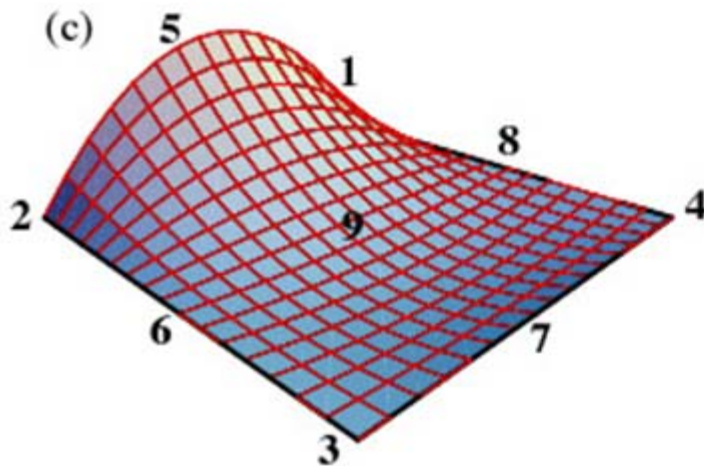
9 Node Biquadratic Quadrilateral Shape Function Plots



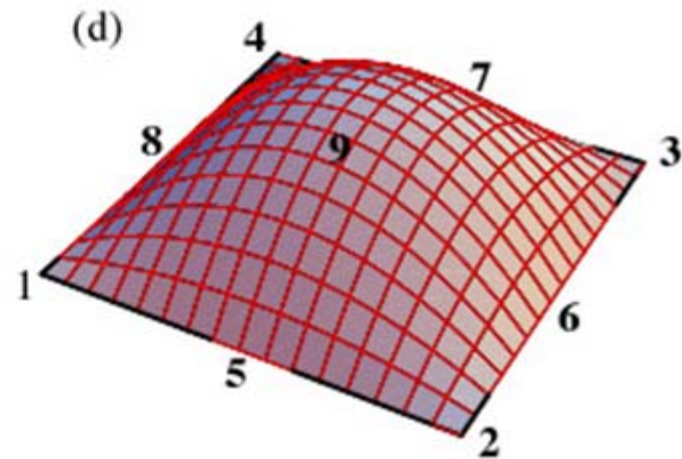
$$N_1^e = \frac{1}{4}(\xi - 1)(\eta - 1)\xi\eta$$



$$N_5^e = \frac{1}{2}(1 - \xi^2)\eta(\eta - 1)$$



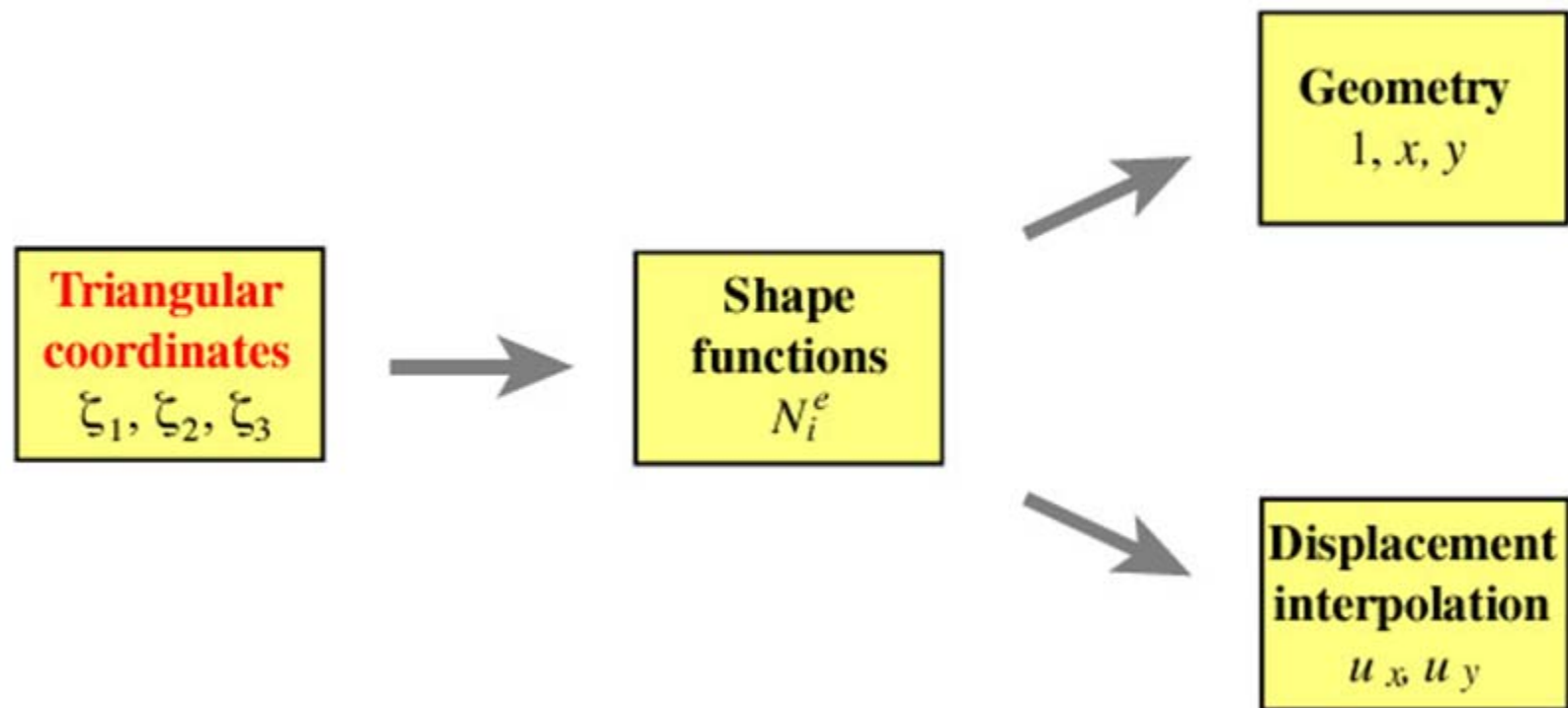
$$N_5^e = \frac{1}{2}(1 - \xi^2)\eta(\eta - 1) \quad (\text{back view})$$



$$N_9^e = (1 - \xi^2)(1 - \eta^2)$$

Triangular Elements

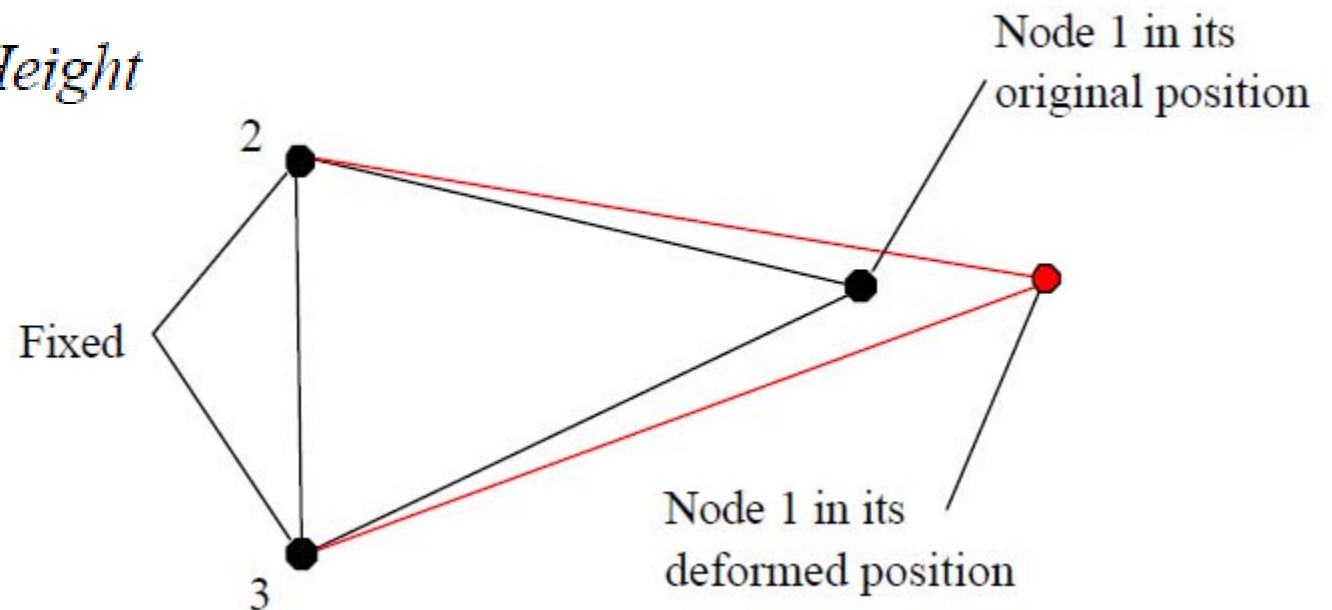
- Isoparametric Representation for Triangular



Triangular Elements

- From the diagram below, it is easy to see that points near nodes 2 and 3 will not move as far as points near node 1 when the triangle deforms. We will assume the deformation is linear and we will compute it with areas. The area of a triangle is;

$$Area = \frac{1}{2} Base \times Height$$



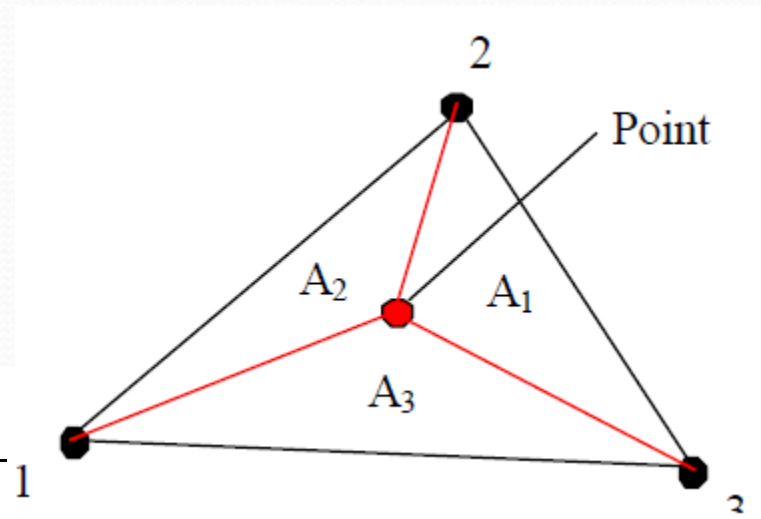
Triangular Elements

- The interior point divides the triangle into 3 regions. All 3 nodal points may move and the motion of the interior point is some combination of their displacement. Let A_1 , A_2 , and A_3 be the areas of each of triangular regions and A the total area of the element. We can see from the diagram that;

$$A = A_1 + A_2 + A_3$$

We can derive shape functions;

$$N_1 = \frac{A_1}{A}, \quad N_2 = \frac{A_2}{A}, \quad \text{and} \quad N_3 = \frac{A_3}{A}$$



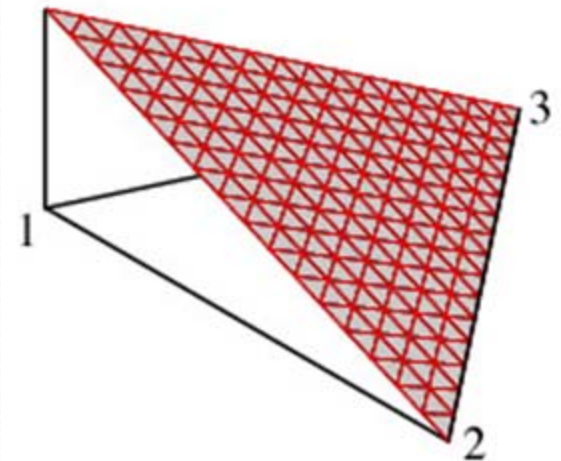
Triangular Elements

- The shape functions are not independent of one another because:

$$N_1 + N_2 + N_3 = 1$$

➤ Knowing two of the shape functions makes it possible to compute the third.
Because of this we can let

$$N_1 = \xi, \quad N_2 = \eta, \quad \text{and} \quad N_3 = 1 - \xi - \eta$$



Triangular Elements

➤ 6 Node Triangle: Shape Function Plots

